



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of

Michaël Brock et al.

Serial No.: 10/523,243

Filed: January 19, 2005

Title: *A Microemulsion Containing UV Filters and/or 85 Antidandruff Substances*

§ Attorney Docket No.: Muller-47

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

**Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Sir:

Applicants wish to make of record in the above-referenced application the references listed on the enclosed Form PTO-1449. Copies of the cited references are enclosed herewith.

Respectfully submitted,

C. James Bushman  
Reg. No. 24,810

Date: July 8, 2005

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Fax: (713) 266-5169

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence and all referenced enclosures are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 8, 2005.

By:

**Cathy Hayes**

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**Muller-47**

In Re Application Of: **Michael Brock et al.**

| Application No. | Filing Date | Examiner       | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|-------------|----------------|--------------|----------------|------------------|
| 10/523,243      | 01/19/05    | To Be Assigned | 000039703    | To Be Assigned | To Be Assigned   |

Title: **A Microemulsion Containing UV Filters and/or 85 Antidandruff Substances**

Address to:  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
**Muller-47**

In Re Application: **Michael Brock et al.**

| Application No. | Filing Date | Examiner       | Customer No. | Group Art Unit | Confirmation No. |
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| 10/523,243      | 01/19/05    | To Be Assigned | 000039703    | To Be Assigned | To Be Assigned   |

Title: **A Microemulsion Containing UV Filters and/or 85 Antidandruff Substances**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-4345** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

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July 8, 2005

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\_\_\_\_\_  
Signature of Person Mailing Correspondence

**Cathy Hayes**

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

Dated: **July 8, 2005**

  
Signature

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CC:

|                                                                                    |  |  |  |                                              |  |                                         |  |
|------------------------------------------------------------------------------------|--|--|--|----------------------------------------------|--|-----------------------------------------|--|
| <b>INFORMATION DISCLOSURE CITATION</b><br><i>(Use several sheets if necessary)</i> |  |  |  | Docket Number (Optional)<br><b>Muller-47</b> |  | Application Number<br><b>10/523,243</b> |  |
|                                                                                    |  |  |  | Applicant(s)<br><b>Michael Brock et al.</b>  |  |                                         |  |
|                                                                                    |  |  |  | Filing Date<br><b>01/19/05</b>               |  | Group Art Unit<br><b>To Be Assigned</b> |  |

  

| U.S. PATENT DOCUMENTS |     |                 |          |               |       |          |                               |
|-----------------------|-----|-----------------|----------|---------------|-------|----------|-------------------------------|
| *EXAMINER<br>INITIAL  | REF | DOCUMENT NUMBER | DATE     | NAME          | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|                       |     | 6,235,696       | 05/22/01 | Hensen et al. |       |          |                               |
|                       |     | 6,235,913       | 05/22/01 | Raths et al.  |       |          |                               |
|                       |     | 6,300,297       | 10/09/01 | Seipel et al. |       |          |                               |
|                       |     | 6,300,508       | 10/09/01 | Raths et al.  |       |          |                               |
|                       |     | 6,498,268       | 12/24/02 | Raths         |       |          |                               |

  

| U.S. PATENT APPLICATION PUBLICATIONS |     |                 |      |      |       |          |                               |
|--------------------------------------|-----|-----------------|------|------|-------|----------|-------------------------------|
| *EXAMINER<br>INITIAL                 | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|                                      |     |                 |      |      |       |          |                               |
|                                      |     |                 |      |      |       |          |                               |
|                                      |     |                 |      |      |       |          |                               |
|                                      |     |                 |      |      |       |          |                               |

  

| FOREIGN PATENT DOCUMENTS |     |                 |            |         |       |          |             |    |
|--------------------------|-----|-----------------|------------|---------|-------|----------|-------------|----|
|                          | REF | DOCUMENT NUMBER | DATE       | COUNTRY | CLASS | SUBCLASS | Translation |    |
|                          |     |                 |            |         |       |          | YES         | NO |
|                          |     | WO 00/47166-A2  | 02/07/2000 | WIPO    |       |          |             |    |
|                          |     | WO 02/053695-A  | 12/14/2001 | WIPO    |       |          |             |    |
|                          |     | WO 01 45615-A1  | 12/20/2000 | WIPO    |       |          |             |    |
|                          |     | WO 99/09943-A1  | 08/17/1998 | WIPO    |       |          |             |    |
|                          |     | EP 0 374 414 A  | 06/27/1990 | Europe  |       |          |             |    |

  

| OTHER DOCUMENTS |  |  | <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i> |
|-----------------|--|--|---------------------------------------------------------------|
|                 |  |  |                                                               |
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|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

  

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.